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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: ROBERT S. HERRICK,)
SERIAL NO.: 08/951,690) GROUP ART UNIT
FILED: October 16, 1997) 3738
FOR: PUNCTUM PLUG HAVING A COLLAPSIBLE) EXAMINER
FLARED SECTION AND METHOD) TRAM NGUYEN
RECEIVED

Commissioner of Patents and Trademarks
Washington, D. C. 20231

DEC 16 1999

Group 3700

CERTIFICATE OF FILING

DOCUMENTS BY EXPRESS MAIL

Dear Sir:

Pursuant to the provisions of 37 C.F.R. Section 1.10,
enclosed herewith are the following documents for filing:

- (1) AMENDMENT with copies of TERMINAL DISCLAIMER TO OBVIATE
A DOUBLE PATENTING REJECTION OVER A PRIOR
PATENT and Check in the amount of \$55.00
paying the Small Entity Statutory Disclaimer
Fee due under 37 CFR 1.20(d);

I hereby certify that this correspondence is
being deposited with the United States Postal
Service as EXPRESS MAIL NO. EJ792561533US in
an envelope addressed to:
Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on December 10, 1999.

Date of Signing: December 14, 1999


Signature: Dan J. Meaney

Date of Mailing: December 16, 1999

- (2) Check in the amount of \$200.00 paying the Small Entity Fee for an additional four (4) independent claims and five (5) additional dependent claims;
- (3) PETITION FOR EXTENSION OF TIME OF THREE (3) MONTHS FOR RESPONSE TO OFFICE ACTION AND PAYMENT OF FEE THEREOF;
- (4) CHECK in the amount of \$435.00 paying the Small Entity Extension Fee due under 37 CFR 1.17(a)(3); and
- (5) POSTCARD.

We respectfully request a filing date of December 10, 1999, the date of mailing the above-referenced documents, pursuant to the provisions of 37 C.F.R. Section 1.10.

Respectfully submitted,


Daniel J. Meaney, Jr.
Registration 22179
Attorney for Applicant

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Santa Barbara, CA 93121
Telephone: (805) 565-5513

Dated: December 10, 1999

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